**University of Mississippi Plan for Training and Oversight in the Responsible Conduct of Research for NSF-Supported Principal Investigators, Undergraduate and Graduate Students, and Postdoctoral Researchers**

1. The general UM RCR Policy and Curriculum (which covers research grants and contracts from all agencies and sponsors) appears at: <http://www.research.olemiss.edu/rcr> The Education in Responsible Conduct of Research policy (Policy Code RSP.VC.100.004.) appears at: <https://secure4.olemiss.edu/umpolicyopen/ShowDetails.jsp?istatPara=1&policyObjidPara=11266284>
2. The office responsible for certifying compliance with NSF Implementation requirements of the America COMPETES Act is the Office of Research and Sponsored Programs’ (ORSP) division of Research Integrity and Compliance (DRIC), and the responsible individual is the division Director, Dr. Tom Lombardo.
3. The NSF mandate is met by having all research personnel complete the entire online CITI RCR course.
4. Research personnel on NSF grants (and all other agency grants) are alerted to the training requirement and tracked as follows:
5. The electronic “UM Transmittal Sheet for Sponsored Projects” requires PIs to list all grant personnel known at the time of submission. The follow-up question for each individual listed asks: “Is this participant involved in proposing, performing, or reviewing research, or reporting research results?” The DRIC reviews the Transmittal Sheet to determine which research personnel have not completed the CITI course in RCR. The DRIC sends the PI an email that 1) describes the training requirement, 2) lists the personnel who must meet the requirement and have not yet completed the CITI course in RCR, and 3) strongly encourages the PI to proactively have those individuals complete the course in advance of receiving results of NSF’s review of the grant submission.
6. When grant award notifications arrive, ORSP post-award staff alert the DRIC.
7. RCR training is verified by DRIC locating a completion certificate in the CITI database.
8. Institutional control: DRIC verifies all research personnel have completed the CITI course on RCR prior to giving approval to ORSP post-award staff to establish accounts for expending grant funds.
9. PIs are instructed to have grant personnel who get added to the grant and meet the training mandate criteria to complete CITI RCR and alert the DRIC. (UM is in the process of establishing with UM Accounting a list of personnel paid from the grant in order verify that the training mandate is met by added personnel.)